

Dynamische und regionale Ozeanographie

WS 2015/16

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11 – Waves and Instabilities

Recapitulation

- Layered models
- Quasi-geostrophic approximation
- Potential vorticity
- Geostrophic adjustment

Waves

- Rossby waves

Recapitulation

Layered models

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Waves

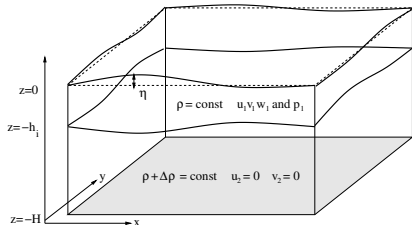
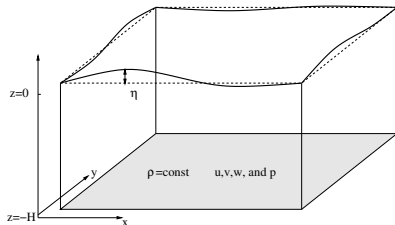
Rossby waves

- ▶ "barotropic" and "baroclinic" layered model

$$\frac{\partial u}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} - fv = -g \frac{\partial h}{\partial x}, \quad \frac{\partial v}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{v} + fu = -g \frac{\partial h}{\partial y}$$

$$\frac{Dh}{Dt} + h \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = 0$$

- ▶ h is total thickness ("barotropic") or layer interface h_i ("baroclinic")
- ▶ either $g = 9.81 \text{ m/s}^2$ ("barotropic") or $g \rightarrow g\Delta\rho/\rho_0$ ("baroclinic")



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- ▶ consider the barotropic or baroclinic layered model

$$\frac{Du}{Dt} - fv = -g \frac{\partial h}{\partial x} \quad , \quad \frac{Dv}{Dt} + fu = -g \frac{\partial h}{\partial y}$$
$$\frac{Dh}{Dt} + (\not\gamma + H) \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = 0$$

- ▶ consider the barotropic or baroclinic layered model

$$\frac{Du}{Dt} - fv = -g \frac{\partial h}{\partial x} \quad , \quad \frac{Dv}{Dt} + fu = -g \frac{\partial h}{\partial y}$$

$$\frac{Dh}{Dt} + (\not\gamma + H) \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = 0$$

- ▶ take curl of momentum equation, i.e. $\partial(2.eqn)/\partial x - \partial(1.eqn)/\partial y$

$$\frac{D\zeta}{Dt} + f \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) + \beta v = 0$$

with relative vorticity $\zeta = \partial v / \partial x - \partial u / \partial y$ and with $\beta = \partial f / \partial y$

- ▶ consider the barotropic or baroclinic layered model

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- ▶ assume small Rossby number Ro , i.e. dominant geostrophic balance

$$v \approx (g/f) \partial h / \partial x \quad , \quad u \approx -(g/f) \partial h / \partial y \quad , \quad \zeta \approx (g/f) (\partial^2 h / \partial x^2 + \partial^2 h / \partial y^2)$$

- ▶ consider the barotropic or baroclinic layered model

$$\frac{Du}{Dt} - fv = -g \frac{\partial h}{\partial x} \quad , \quad \frac{Dv}{Dt} + fu = -g \frac{\partial h}{\partial y}$$

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- ▶ using this yields the quasi-geostrophic potential vorticity equation

$$\frac{D}{Dt} (\nabla^2 h - R^{-2} h) + \beta \frac{\partial h}{\partial x} \approx 0$$

with the "Rossby radius" $R = \sqrt{gH}/|f|$

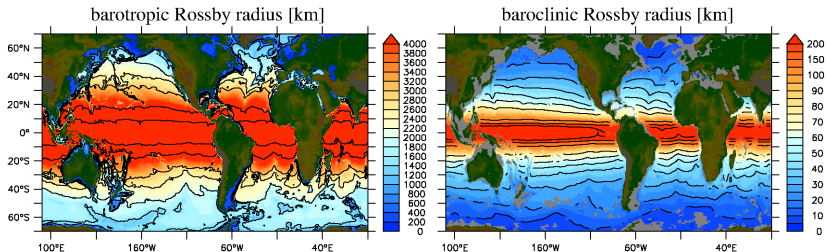
- ▶ quasi-geostrophic potential vorticity (PV) equation

$$\frac{D}{Dt} (\nabla^2 h - R^{-2} h) + \beta \frac{\partial h}{\partial x} = 0 \quad , \quad \frac{D}{Dt} (\zeta - (f_0/H)h + f_0 + \beta y) = 0$$

valid for $Ro \ll 1$ and $L \ll a$

with the "Rossby radius" $R = \sqrt{gH}/|f|$ and Earth radius a

- ▶ h is total thickness ("barotropic") or layer interface h_i ("baroclinic")
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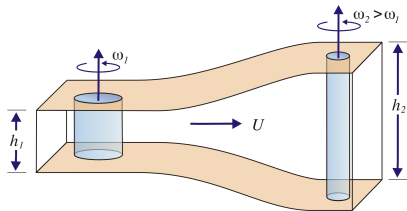
Waves

Rossby waves

- ▶ potential vorticity equation for a single layer

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \frac{\zeta + f}{h}$$

q is conserved for fluid parcels in single layer



- ▶ potential vorticity equation for a single layer

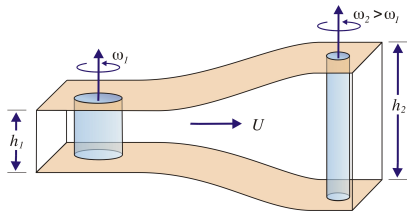
$$\frac{Dq}{Dt} = 0 \quad , \quad q = \frac{\zeta + f}{h}$$

q is conserved for fluid parcels in single layer

- ▶ quasi-geostrophic potential vorticity equation

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \zeta - \frac{f_0}{H}h + f$$

q is (approximately) conserved in single layer for $Ro \ll 1$



- ▶ potential vorticity equation for a single layer

$$\frac{Dq}{Dt} = 0, \quad q = \frac{\zeta + f}{h}$$

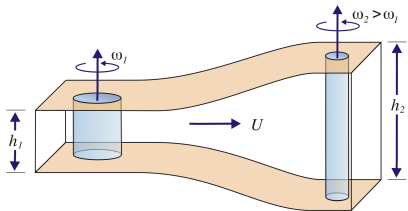
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q is (approximately) conserved in single layer for $Ro \ll 1$

- ▶ $\zeta = (g/f)\nabla^2 h$ is relative vorticity
- ▶ $-(f_0/H)h$ is stretching vorticity
- ▶ $f = f_0 + \beta y$ is planetary vorticity



- ▶ potential vorticity equation for a single layer

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \frac{\zeta + f}{h} \quad \text{or} \quad q = \zeta - \frac{f_0}{H}h + f$$

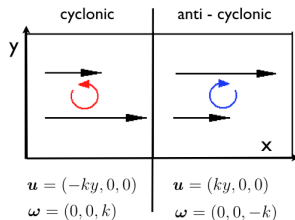
q is conserved for fluid parcels in single layer

- ▶ potential vorticity equation for a single layer

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \frac{\zeta + f}{h} \quad \text{or} \quad q = \zeta - \frac{f_0}{H}h + f$$

q is conserved for fluid parcels in single layer

- ▶ $h = \text{const}$, ζ initially zero, parcel moves northward
 f increases but $q = (f + \zeta)/h$ has to stay constant
 $\rightarrow \zeta = \partial v / \partial x - \partial u / \partial y$ decreases \rightarrow anticyclonic rotation



$u = -ay$, $v = 0 \rightarrow \zeta = a > 0$: cyclonic (anticlockwise) rotation

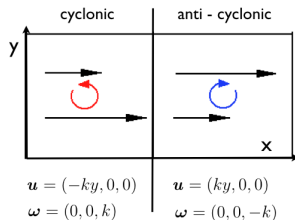
$u = +ay$, $v = 0 \rightarrow \zeta = a < 0$: anticyclonic (clockwise) rotation

- ▶ potential vorticity equation for a single layer

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \frac{\zeta + f}{h} \quad \text{or} \quad q = \zeta - \frac{f_0}{H}h + f$$

q is conserved for fluid parcels in single layer

- ▶ $h = \text{const}$, ζ initially zero, parcel moves northward
 f increases but $q = (f + \zeta)/h$ has to stay constant
 $\rightarrow \zeta = \partial v / \partial x - \partial u / \partial y$ decreases \rightarrow anticyclonic rotation
- ▶ $h = \text{const}$, ζ initially zero, parcel moves southward
 $\rightarrow \zeta = \partial v / \partial x - \partial u / \partial y$ increases \rightarrow more cyclonic rotation



$u = -ay$, $v = 0 \rightarrow \zeta = a > 0$: cyclonic (anticlockwise) rotation

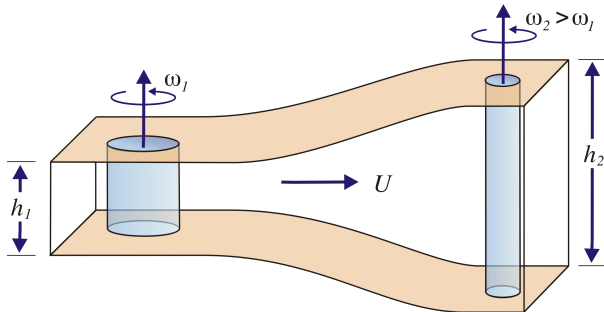
$u = +ay$, $v = 0 \rightarrow \zeta = a < 0$: anticyclonic (clockwise) rotation

- ▶ potential vorticity equation for a single layer

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \frac{\zeta + f}{h} \quad \text{or} \quad q = \zeta - \frac{f_0}{H}h + f$$

q is conserved for fluid parcels in single layer

- ▶ $f = \text{const}$, ζ initially zero, parcel moves to deeper water
 $\rightarrow \zeta = \partial v / \partial x - \partial u / \partial y$ increases \rightarrow cyclonic rotation



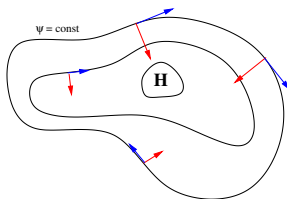
- ▶ quasi-geostrophic potential vorticity equation

$$\frac{Dq}{Dt} = 0 \quad , \quad q = \zeta - \frac{f_0}{H}h + f$$

q is (approximately) conserved in single layer for $Ro \ll 1$

- ▶ $\psi = gh/f_0$ is streamfunction for the quasi-geostrophic flow

$$u \approx -\frac{g}{f_0} \frac{\partial h}{\partial y} = -\frac{\partial \psi}{\partial y} \quad , \quad v \approx \frac{g}{f_0} \frac{\partial h}{\partial x} = \frac{\partial \psi}{\partial x}$$



$$\begin{aligned} \mathbf{u} &= \begin{pmatrix} -\partial\psi/\partial y \\ \partial\psi/\partial x \end{pmatrix} \\ &= \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \times \begin{pmatrix} \partial\psi/\partial x \\ \partial\psi/\partial y \\ 0 \end{pmatrix} = \mathbf{k} \times \nabla\psi \end{aligned}$$

- ▶ \mathbf{u} (blue arrow): anti-clockwise rotation of $\nabla\psi$ (red arrow) by 90°

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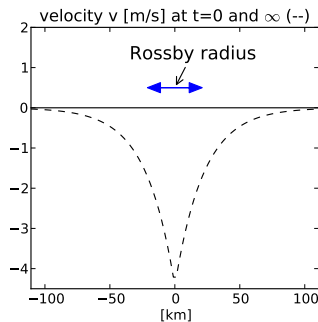
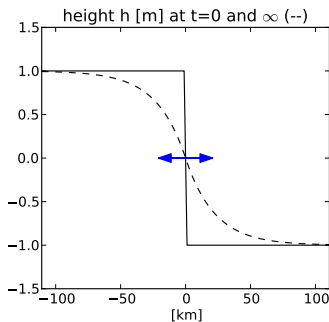
Rossby waves

- ▶ potential vorticity $q = \nabla^2 h - h/R^2$ (for $f = \text{const}$) stays constant
- ▶ initial and steady state solution of h are given by

$$h|_{t=0} = \begin{cases} h_0, & \text{if } x < 0 \\ -h_0, & \text{if } x > 0 \end{cases}, \quad h|_{\infty} = \begin{cases} h_0(1 - e^{x/R}), & \text{if } x < 0 \\ -h_0(1 - e^{-x/R}), & \text{if } x > 0 \end{cases}$$

with Rossby radius $R = \sqrt{gH}/|f|$

- ▶ Gravity waves establish geostrophic balance on scale larger than R



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$$\frac{Dq}{Dt} = 0 \quad , \quad q = \zeta - \frac{f_0}{H}h + f_0 + \beta y$$

with relative vorticity $\zeta = (g/f_0)\nabla^2 h$

- ▶ potential vorticity equation for layered model

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- ▶ linearized version ($D/Dt \rightarrow \partial/\partial t$)

$$\frac{D}{Dt} \left(\frac{g}{f_0} \nabla^2 h - \frac{f_0}{H} h + f_0 + \beta y \right) =$$

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$$\frac{D}{Dt} \left(\frac{g}{f_0} \nabla^2 h - \frac{f_0}{H} h + f_0 + \beta y \right) = \frac{D}{Dt} \left(\frac{g}{f_0} \nabla^2 h - \frac{f_0}{H} h \right) + \beta v = 0$$

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with Rossby radius $R = \sqrt{gH}/|f|$

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$$\frac{\partial}{\partial t} \left(\frac{g}{f_0} \nabla^2 h - \frac{f_0}{H} h \right) + \beta \frac{g}{f_0} \frac{\partial h}{\partial x} \approx 0 \quad \rightarrow \quad \frac{\partial}{\partial t} (\nabla^2 h - R^{-2} h) + \beta \frac{\partial h}{\partial x} = 0$$

with Rossby radius $R = \sqrt{gH}/|f|$

- ▶ "vorticity wave" $h = A \exp i(k_1 x + k_2 y - \omega t) = A \exp i(\mathbf{k} \cdot \mathbf{x} - \omega t)$
 $(-i\omega) ((i\mathbf{k})^2 A \exp i(..) - R^{-2} A \exp i(..)) + \beta (ik_1) A \exp i(..) = 0$

- ▶ potential vorticity equation for layered model

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$$-\omega (-(\mathbf{k})^2 - R^{-2}) + \beta k_1 = 0$$

- ▶ potential vorticity equation for layered model

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- ▶ linearized version ($D/Dt \rightarrow \partial/\partial t$)

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$$\frac{\partial}{\partial t} \left(\frac{g}{f_0} \nabla^2 h - \frac{f_0}{H} h \right) + \beta \frac{g}{f_0} \frac{\partial h}{\partial x} \approx 0 \quad \rightarrow \quad \frac{\partial}{\partial t} (\nabla^2 h - R^{-2} h) + \beta \frac{\partial h}{\partial x} = 0$$

with Rossby radius $R = \sqrt{gH}/|f|$

- ▶ "vorticity wave" $h = A \exp i(k_1 x + k_2 y - \omega t) = A \exp i(\mathbf{k} \cdot \mathbf{x} - \omega t)$

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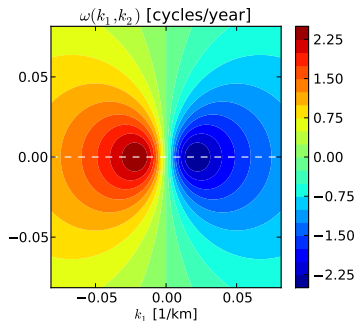
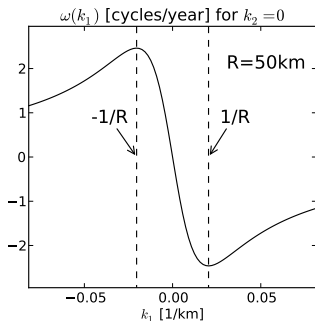
$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- "vorticity wave" → Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

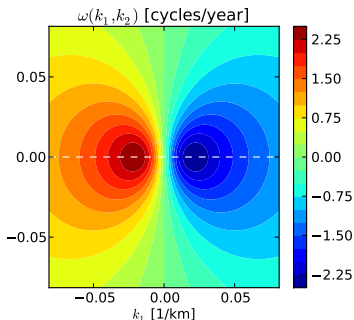
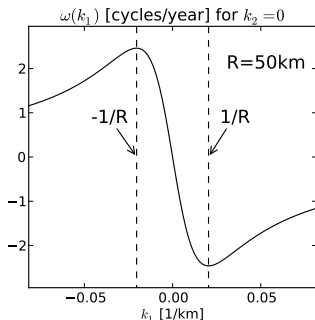


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with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- ▶ slow, only present with planetary vorticity gradient $df/dy = \beta$

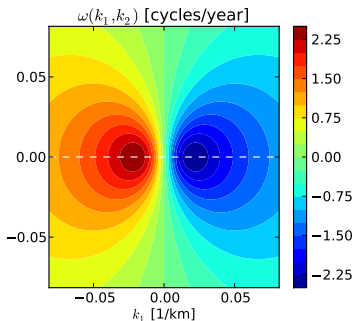
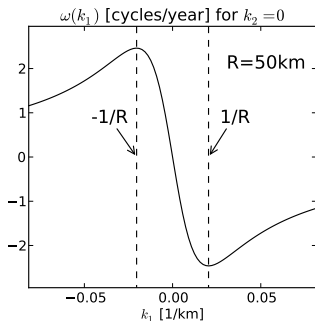


- ▶ "vorticity wave" → Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- ▶ slow, only present with planetary vorticity gradient $df/dy = \beta$
- ▶ for $k_1 > 0$ phase propagation speed $c = \omega/k$ is negative
for $k_1 < 0$ phase propagation speed $c = \omega/k$ is positive
→ phase propagation is always westward



- ▶ "vorticity wave" → Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- ▶ meridional group velocity of Rossby waves

$$c_g^y = \frac{\partial \omega}{\partial k_2} = -(-1) \frac{\beta k_1}{(k^2 + R^{-2})^2} 2k_2$$

- ▶ "vorticity wave" → Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- ▶ meridional group velocity of Rossby waves

$$c_g^y = \frac{\partial \omega}{\partial k_2} = -(-1) \frac{\beta k_1}{(k^2 + R^{-2})^2} 2k_2 = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

- ▶ "vorticity wave" → Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- ▶ meridional group velocity of Rossby waves

$$c_g^y = \frac{\partial \omega}{\partial k_2} = -(-1) \frac{\beta k_1}{(k^2 + R^{-2})^2} 2k_2 = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

- ▶ zonal group velocity of Rossby waves

$$c_g^x = \frac{\partial \omega}{\partial k_1} = -(-1) \frac{\beta k_1}{(k^2 + R^{-2})^2} 2k_1 - \frac{\beta}{k^2 + R^{-2}}$$

- ▶ "vorticity wave" → Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}}$$

with Rossby radius $R = \sqrt{gH}/|f|$ and $k^2 = k_1^2 + k_2^2 = (\mathbf{k})^2$

- ▶ meridional group velocity of Rossby waves

$$c_g^y = \frac{\partial \omega}{\partial k_2} = -(-1) \frac{\beta k_1}{(k^2 + R^{-2})^2} 2k_2 = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

- ▶ zonal group velocity of Rossby waves

$$\begin{aligned} c_g^x &= \frac{\partial \omega}{\partial k_1} = -(-1) \frac{\beta k_1}{(k^2 + R^{-2})^2} 2k_1 - \frac{\beta}{k^2 + R^{-2}} \\ &= \frac{2\beta k_1^2}{(k^2 + R^{-2})^2} - \frac{\beta(k^2 + R^{-2})}{(k^2 + R^{-2})^2} \end{aligned}$$

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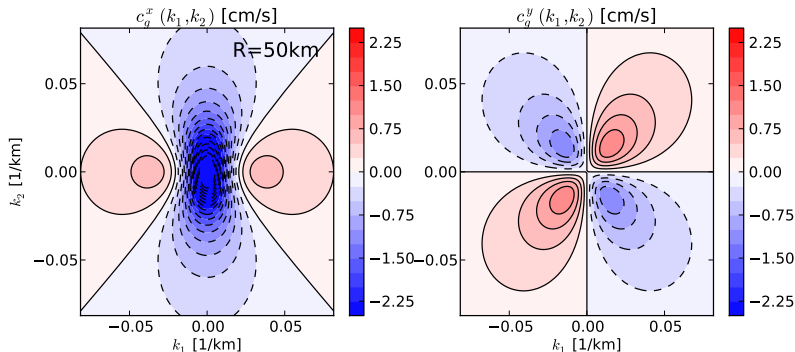
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- ▶ Group velocity of Rossby waves

$$c_g^x = \beta \frac{k_1^2 - k_2^2 - R^{-2}}{(k^2 + R^{-2})^2}, \quad c_g^y = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$



- ▶ direction of c_g^x westward for small k_1 , eastward for large k_1
- ▶ for $k_2 = 0$ $c_g^x = 0$ at $k_1 = \pm 1/R \rightarrow \omega_{max} = -\beta R/2$
- ▶ direction of c_g^y always opposite to k_2

► Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}} \quad , \quad c_g^x = \beta \frac{k_1^2 - k_2^2 - R^{-2}}{(k^2 + R^{-2})^2} \quad , \quad c_g^y = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

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→ westward phase and energy propagation

→ no dispersion: $c \stackrel{kR \rightarrow 0}{=} c_g^x$ (for a wave with $k_2 = 0$)

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- ▶ start again with PV equation and neglect relative vorticity $\zeta = \nabla^2 h$

$$\frac{\partial}{\partial t} \left(\cancel{\nabla^2 h} - R^{-2} h \right) + \beta \frac{\partial h}{\partial x} = 0$$

- ▶ Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}} \quad , \quad c_g^x = \beta \frac{k_1^2 - k_2^2 - R^{-2}}{(k^2 + R^{-2})^2} \quad , \quad c_g^y = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

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- ▶ wave ansatz $h = A \exp i(k_1 x - \omega t)$ yields

$$(-i\omega)(-R^{-2} A \exp i(\dots)) + \beta(i k_1) A \exp i(\dots) = 0 \quad \rightarrow \quad \omega = -\beta k_1 R^2$$

→ long wave limit is identical to vanishing relative vorticity

► Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}} \quad , \quad c_g^x = \beta \frac{k_1^2 - k_2^2 - R^{-2}}{(k^2 + R^{-2})^2} \quad , \quad c_g^y = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

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- ▶ short wave limit for $\lambda \ll R$ or $k \gg 1/R$ or $kR \rightarrow \infty$

$$\omega \stackrel{kR \rightarrow \infty}{\approx} -\frac{\beta k_1}{k^2} \quad , \quad c_g^x \stackrel{kR \rightarrow \infty}{\approx} \beta \frac{k_1^2 - k_2^2}{k^4} \quad , \quad c_g^y \stackrel{kR \rightarrow \infty}{\approx} \frac{2\beta k_1 k_2}{k^4}$$

→ eastward energy propagation (for $k_1^2 > k_2^2$), dispersion

- ▶ Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}} \quad , \quad c_g^x = \beta \frac{k_1^2 - k_2^2 - R^{-2}}{(k^2 + R^{-2})^2} \quad , \quad c_g^y = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

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$$\frac{\partial}{\partial t} (\nabla^2 h - R^{-2} h) + \beta \frac{\partial h}{\partial x} = 0$$

- Rossby wave dispersion relation

$$\omega = -\frac{\beta k_1}{k^2 + R^{-2}} \quad , \quad c_g^x = \beta \frac{k_1^2 - k_2^2 - R^{-2}}{(k^2 + R^{-2})^2} \quad , \quad c_g^y = \frac{2\beta k_1 k_2}{(k^2 + R^{-2})^2}$$

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\rightarrow short wave limit is identical to vanishing stretching vorticity

- ▶ long wave limit for $\lambda \gg R$ or $k \ll 1/R$ or $kR \rightarrow 0$

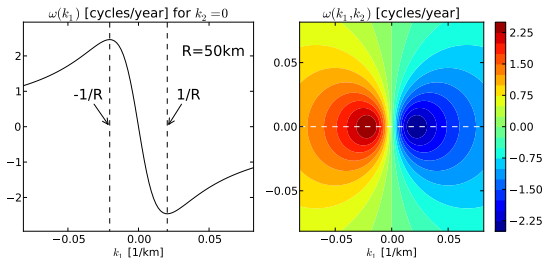
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→ westward phase and energy propagation, no dispersion, vanishing relative vorticity

- ▶ short wave limit for $\lambda \ll R$ or $k \gg 1/R$ or $kR \rightarrow \infty$

$$\omega \stackrel{kR \rightarrow \infty}{=} -\frac{\beta k_1}{k^2}, \quad c_g^x \stackrel{kR \rightarrow \infty}{=} \beta \frac{k_1^2 - k_2^2}{k^4}, \quad c_g^y \stackrel{kR \rightarrow \infty}{=} \frac{2\beta k_1 k_2}{k^4}$$

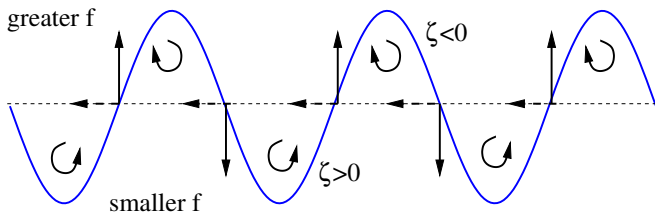
→ westward phase but eastward energy propagation, dispersion, vanishing stretching vorticity



- ▶ (short) Rossby wave propagation mechanism

$$q \approx f + \zeta = \text{const} \quad , \quad \text{vanishing stretching vorticity}$$

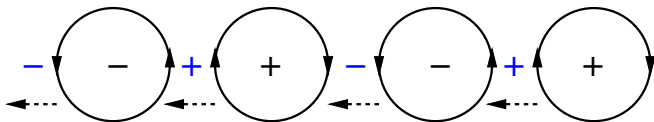
- ▶ for northward parcel displacement f increase $\rightarrow \zeta < 0$
- ▶ for southward parcel displacement f decrease $\rightarrow \zeta > 0$



- ▶ (long) Rossby wave propagation mechanism

$$q \approx f - f_0 h/H = \text{const} \quad , \quad \text{vanishing relative vorticity}$$

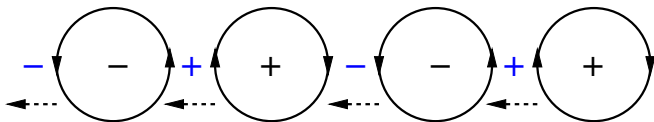
- ▶ for northward parcel displacement f increase $\rightarrow h > 0$ (for $f > 0$)
- ▶ for southward parcel displacement f decrease $\rightarrow h < 0$



- ▶ (long) Rossby wave propagation mechanism

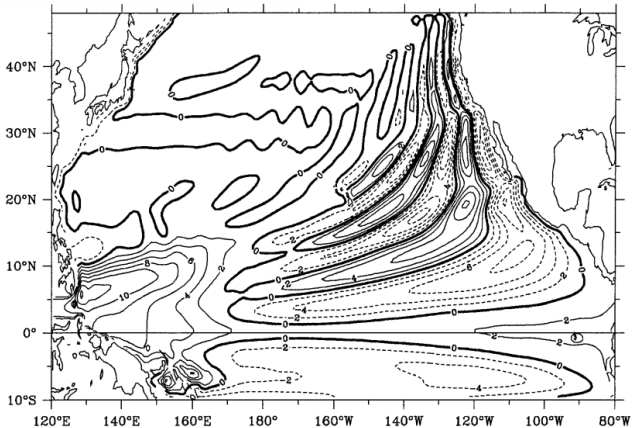
$$q \approx f - f_0 h/H = \text{const} \quad , \quad \text{vanishing relative vorticity}$$

- ▶ for northward parcel displacement f increase $\rightarrow h > 0$ (for $f > 0$)
- ▶ for southward parcel displacement f decrease $\rightarrow h < 0$
- ▶ northward geostrophic flow west of $h > 0$ and east of $h < 0$
generates increase in $f \rightarrow$ increase in h (blue)
- ▶ southward geostrophic flow east of $h > 0$ and west of $h < 0$
generates decrease in $f \rightarrow$ decrease in h (blue)
- \rightarrow westward phase propagation in both cases



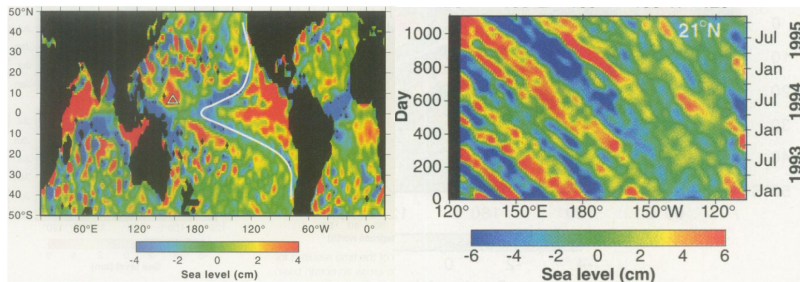
Movie

- ▶ Rossby waves in a simple Pacific Ocean model setting up the large-scale circulation



from Qui et al (1997)

- ▶ Rossby waves in satellite altimeter data snapshot (left) and along 21°N in the Pacific Ocean (right)



from Chelton and Schlax (1996)