

# Dynamische und regionale Ozeanographie

## WS 2014/15

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# Lecture # 3

## Introduction

- Content

- Literature

## Hydrodynamics

- Euler/Lagrange framework

- General conservation equation

- Continuity equation

- Salinity and salt conservation

- Momentum or Navier Stokes equation

- Heat and temperature equation

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## Content dynamical oceanography

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### 1. Introduction

### 2. Hydrodynamics

Kinematics, continuity, momentum and thermodynamic equation

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Boussinesq, hydrostatic, layered models, quasi-geostrophic approximation, potential vorticity

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Gravity waves w/o rotation, Kelvin waves, geostrophic adjustment, Rossby waves, vertical modes, equatorial waves

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Ekman-layers, -spiral, -transport, -pumping, Sverdrup transport, western boundary currents

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### 6. Thermohaline circulation

basic ingredients and dynamics, Stommel-Arons model



# Inhalt Regionale Ozeanographie

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- Fundamentale Eigenschaften des Meerwassers (The international thermodynamic equation of seawater – 2010, TEOS-10) Calculation and use of thermodynamic properties)
- Methoden der Wassermassenanalyse (Temperatur-, Salzgehalts- und Dichte-Gleichung, Zustandsgleichung, Stirring & Mixing)
- Flüsse an der Grenzfläche Atmosphäre-Ozean (Energiebilanzen Ozean & Atmosphäre)
- Eigenschaften und Dynamik der ozeanischen Deckschicht, Wassermassenformation (Konvektion, Ekman, Langmuir, Trägheitswellen, Scherungsinstabilitäten)
- Subduktion und Dynamik der Warmwassersphäre (Auftrieb, Geostrophie, Vorticityerhaltung, Sverdrup, Subduktion, Ventilierte Thermokline)
- Westliche Randströme (Stommel, Munk,  $Ro > 0$ , Rossby-Wellen, Instabilitäten, Randströme im Äquatorbereich)
- Randmeere des Atlantischen Ozeans (Hier regionale Besonderheiten z.B. Monsunzirkulation, Äquatoriale Zirkulation, El Nino, Form Drag AACC, Schelf-Slope Konvektion, Overflows)
- Reg. Oz. des Indischen Ozeans
- Reg. Oz. des Pazifischen Ozeans
- Reg. Oz. des Südlichen Ozeans

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- ▶ Marshall and Plumb:  
Atmosphere, ocean and climate dynamics

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- ▶ (Olbers, Willebrand, Eden: Ocean dynamics)

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Euler/Lagrange framework

General conservation equation

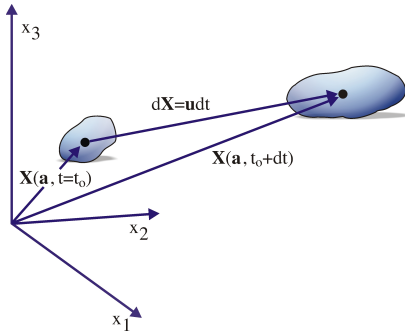
Continuity equation

Salinity and salt conservation

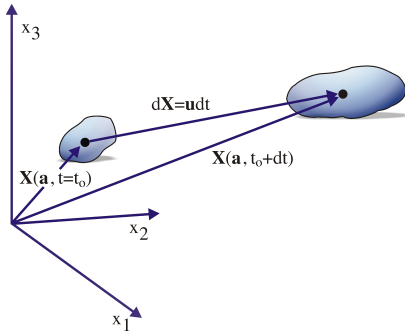
Momentum or Navier Stokes equation

Heat and temperature equation

- ▶ any fluid is made of small 'water parcels'

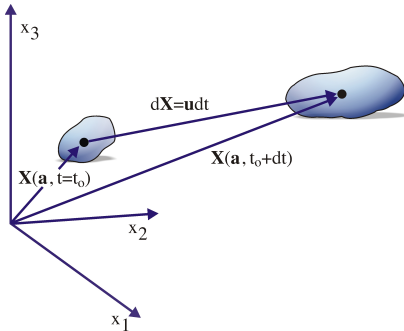


- ▶ any fluid is made of small 'water parcels'
- ▶ dimensions are small compared to relevant scales of the fluid

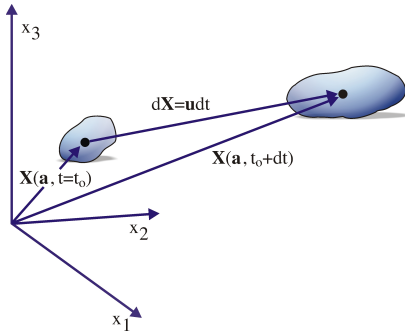




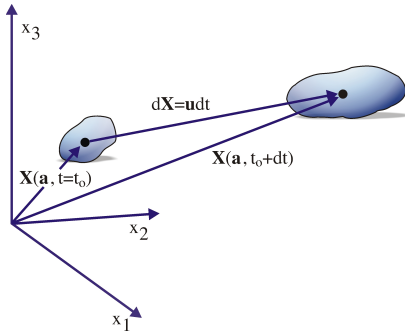
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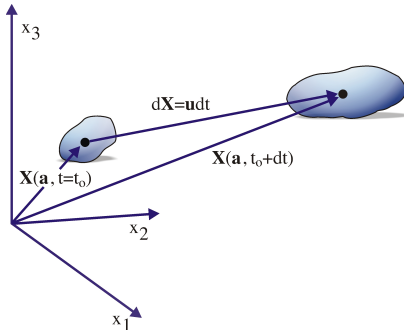
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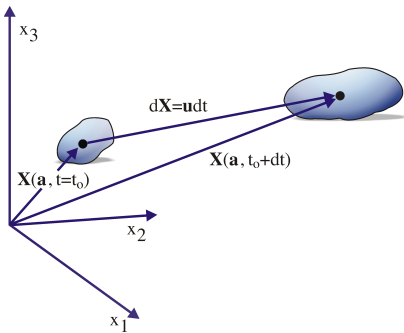


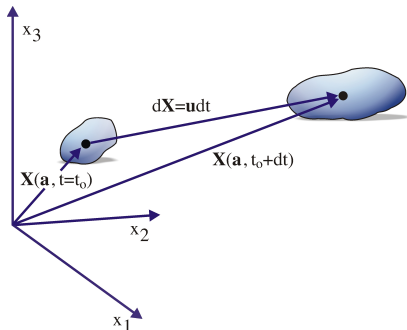
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- ▶ small but constant mass, individual molecules might change
- ▶ velocity  $\mathbf{u}$  is the parcel velocity, a parcel has properties, e.g.  $C$

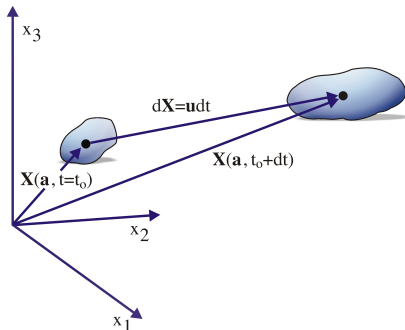






- ▶ consider property  $C(t, x, y, z)$  of a water parcel

$$\delta C = \frac{\partial C}{\partial t} \delta t + \frac{\partial C}{\partial x} \delta x + \frac{\partial C}{\partial y} \delta y + \frac{\partial C}{\partial z} \delta z$$

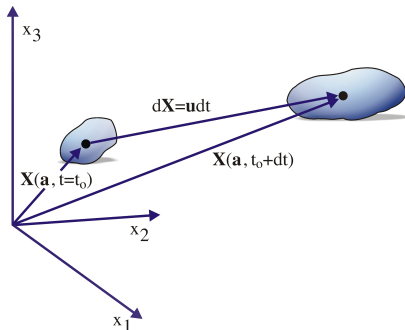


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- ▶ choose  $\delta x = u \delta t$ ,  $\delta y = v \delta t$ , and  $\delta z = w \delta t$   
i.e. calculate  $\delta C$  following path of parcel

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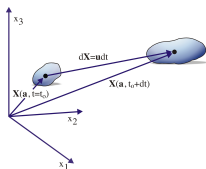
- ▶ consider property  $C(t, x, y, z)$  of a water parcel

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$$\delta C = \frac{\partial C}{\partial t} \delta t + \left( \frac{\partial C}{\partial x} u + \frac{\partial C}{\partial y} v + \frac{\partial C}{\partial z} w \right) \delta t \rightarrow \frac{\delta C}{\delta t} = \frac{\partial C}{\partial t} + \mathbf{u} \cdot \nabla C$$





► Euler's relation

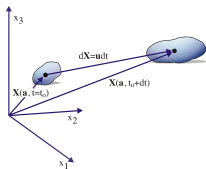
$$\frac{\delta C}{\delta t} \rightarrow \left( \frac{\partial}{\partial t} C \right)_{\text{parcel}} = \frac{\partial}{\partial t} C + \mathbf{u} \cdot \nabla C \equiv \frac{D}{Dt} C$$

- $D/Dt = \partial/\partial t + \mathbf{u} \cdot \nabla$  is often called 'material' or 'substantial' derivative



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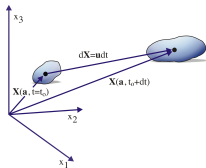
- ▶  $D/Dt = \partial/\partial t + \mathbf{u} \cdot \nabla$  is often called 'material' or 'substantial' derivative
- ▶ local rate of change plus change implied by advection of fluid



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- ▶ if  $DC/Dt = 0$ , property  $C$  of parcels does not change, it's *conservative* (but locally  $C$  might change in time)



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- ▶ if  $DC/Dt = 0$ , property  $C$  of parcels does not change, it's *conservative* (but locally  $C$  might change in time)
- ▶ Lagrangian frameworks uses left hand side of  $DC/Dt$   
Eulerian framework uses right hand side of  $DC/Dt$   
both are equivalent but Eulerian framework is often more convenient

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Euler/Lagrange framework

**General conservation equation**

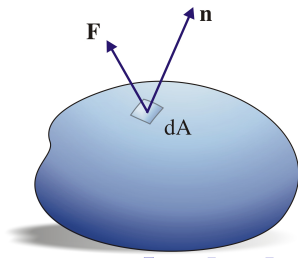
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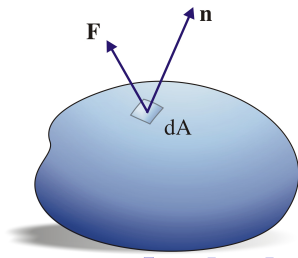
- ▶ consider volume  $V$ , *fixed* in space and bounded by a surface  $A$
- ▶ and a scalar fluid property  $C$  concentration  
(in units of  $C$  per kg sea water or  $\rho C$  in units of  $C$  per  $m^3$ )



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(in units of  $C$  per kg sea water or  $\rho C$  in units of  $C$  per  $m^3$ )
- ▶ total amount of the property  $C$  in  $V$  is given by

$$\int_V \rho C \, dV$$

and may change in time by two ways:

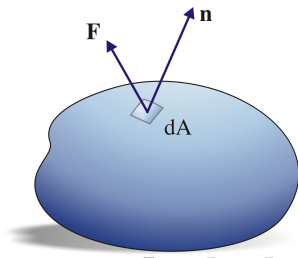


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and may change in time by two ways:

- ▶ by a flux across surface  $A$
- ▶ by an interior source or sink

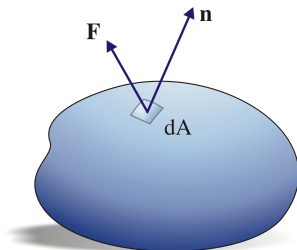




- ▶ consider volume  $V$ , *fixed* in space and bounded by a surface  $A$
- ▶ outward transport across  $A$

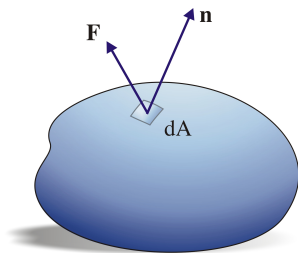
$$\oint_A (\rho C \mathbf{u} + \mathbf{J}) \cdot d\mathbf{A}$$

- ▶ by transport by water parcels, an "advective" part  $\rho C \mathbf{u}$
- ▶ and by a non-advective flux  $\mathbf{J}$  which is everything else, e.g diffusion, heat conduction, radiation etc.



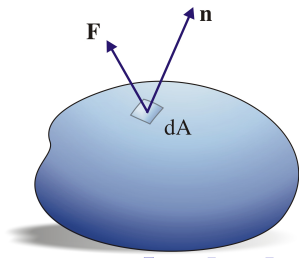
- ▶ consider volume  $V$ , *fixed* in space and bounded by a surface  $A$
- ▶ interior sources/sinks  $Q$  (units of  $C$  per time and volume),  
e.g. heat sources, radioactive decay, chemical reaction,

$$\int_V Q dV$$



- ▶ consider volume  $V$ , *fixed* in space and bounded by a surface  $A$
- ▶ total rate of change of the  $C$ -content in the volume

$$\frac{\partial}{\partial t} \int_V \rho C dV = - \oint_A (\rho C \mathbf{u} + \mathbf{J}) \cdot d\mathbf{A} + \int_V Q dV$$

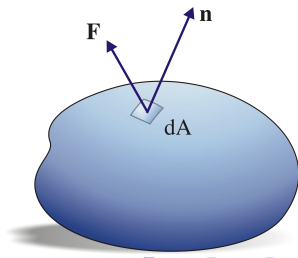


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- ▶ the surface integral may be rewritten with Gauss law as

$$\oint_A (\rho C \mathbf{u} + \mathbf{J}) \cdot d\mathbf{A} = \int_V \nabla \cdot (\rho C \mathbf{u} + \mathbf{J}) dV$$



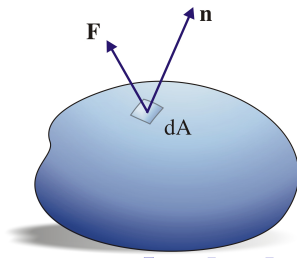
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- ▶ for fixed volume  $\partial/\partial t$  and integral commute



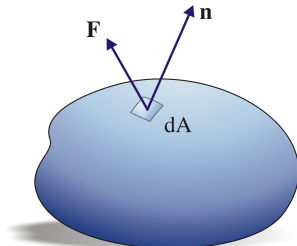
- ▶ consider volume  $V$ , *fixed* in space and bounded by a surface  $A$
- ▶ total rate of change of the  $C$ -content in the volume

$$\int_V \left[ \frac{\partial}{\partial t} \rho C + \nabla \cdot (\rho C \mathbf{u} + \mathbf{J}) - Q \right] dV = 0$$

- ▶ since this holds for *arbitrary* volume, the integrand has to vanish

$$\frac{\partial}{\partial t} \rho C + \nabla \cdot (\rho C \mathbf{u} + \mathbf{J}) - Q = 0$$

which is the general conservation equation in flux form



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**Continuity equation**

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Heat and temperature equation

- ▶ general conservation law in flux form

$$\frac{\partial}{\partial t} \rho C = -\nabla \cdot (\rho C \mathbf{u} + \mathbf{J}) + Q$$

- ▶ take  $C = 1$  ( kg /kg sea water),  $\rightarrow \rho C$  becomes total mass per  $m^3$



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- ▶ take  $C = 1$  ( kg /kg sea water),  $\rightarrow \rho C$  becomes total mass per  $m^3$
- ▶ total mass has no source  $\rightarrow Q = 0$  and  $\mathbf{J} = 0$

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- ▶ mass conservation or continuity equation

$$\frac{\partial}{\partial t} \rho = -\nabla \cdot \rho \mathbf{u}$$

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$$\frac{\partial}{\partial t} \rho = -\nabla \cdot \rho \mathbf{u}$$

- ▶ possible to rewrite flux form (above) to parcel form

$$\frac{\partial}{\partial t} \rho + \mathbf{u} \cdot \nabla \rho \equiv \frac{D\rho}{Dt} = -\rho \nabla \cdot \mathbf{u}$$

- ▶ general conservation law in flux form

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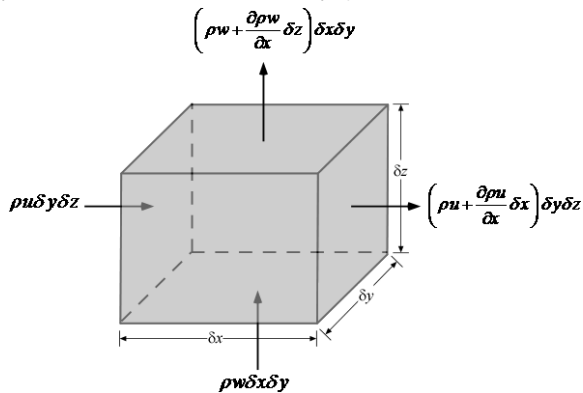
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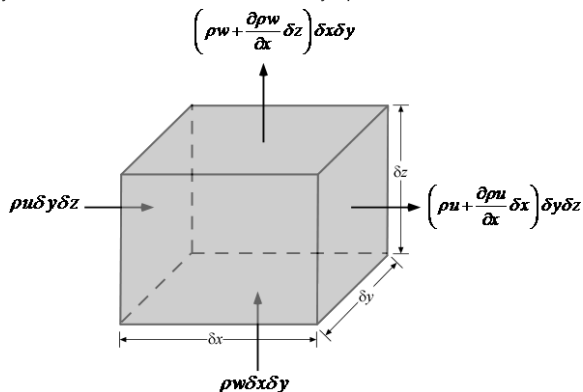
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$$\frac{D\rho}{Dt} = \frac{D}{Dt} v^{-1} = -\frac{1}{v^2} \frac{Dv}{Dt} = -\frac{1}{v} \nabla \cdot \mathbf{u} \rightarrow \rho \frac{Dv}{Dt} = \nabla \cdot \mathbf{u}$$

parcel form of continuity equation

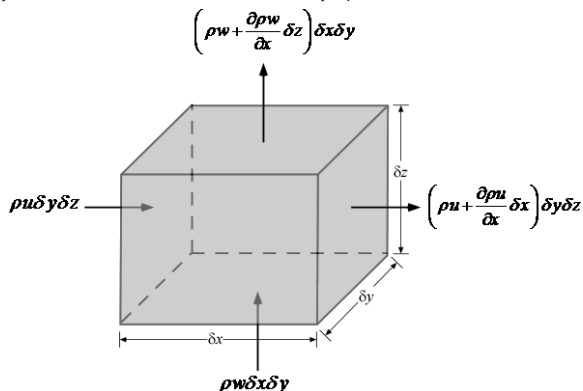
Continuity equation





- divergence of mass flux  $F$  in  $x$  direction

$$F^x(x_0 + \delta x) - F^x(x_0) = \left( \rho u + \frac{\partial \rho u}{\partial x} \delta x + \cancel{O(\delta x^2)} \right) \delta y \delta z - \rho u \delta y \delta z$$



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- rate of change of mass

$$\frac{\delta M}{\delta t} = \frac{\partial}{\partial t}(\rho \delta x \delta y \delta z) = \frac{\partial \rho}{\partial t} \delta x \delta y \delta z$$



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- ▶ rate of change of mass

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- ▶ rate of change of mass

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- ▶ divergence of mass flux  $F$  in  $y$  direction

$$F^y(y_0 + \delta y) - F^y(y_0) = \frac{\partial \rho v}{\partial y} \delta x \delta y \delta z$$

and similar for  $z$

- divergence of mass flux  $F$  in  $x$  direction

$$F^x(x_0 + \delta x) - F^x(x_0) = (\rho u + \frac{\partial \rho u}{\partial x} \delta x) \delta y \delta z - \rho u \delta y \delta z$$

- rate of change of mass

$$\frac{\delta M}{\delta t} = \frac{\partial}{\partial t}(\rho \delta x \delta y \delta z) = \frac{\partial \rho}{\partial t} \delta x \delta y \delta z$$

- divergence of mass flux  $F$  in  $y$  direction

$$F^y(y_0 + \delta y) - F^y(y_0) = \frac{\partial \rho v}{\partial y} \delta x \delta y \delta z$$

and similar for  $z$

- mass change is balanced by flux divergences

$$\begin{aligned} \frac{\delta M}{\delta t} &= \frac{\partial \rho}{\partial t} \delta x \delta y \delta z = - \left( \frac{\partial}{\partial x} \rho u + \frac{\partial}{\partial y} \rho v + \frac{\partial}{\partial z} \rho w \right) \delta x \delta y \delta z \\ \frac{\partial \rho}{\partial t} &= -\nabla \cdot \rho \mathbf{u} \end{aligned}$$

which is again the flux form of the continuity equation

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## Hydrodynamics

Euler/Lagrange framework

General conservation equation

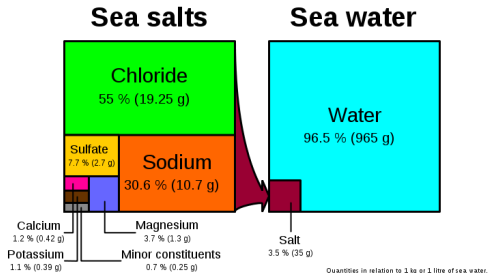
Continuity equation

**Salinity and salt conservation**

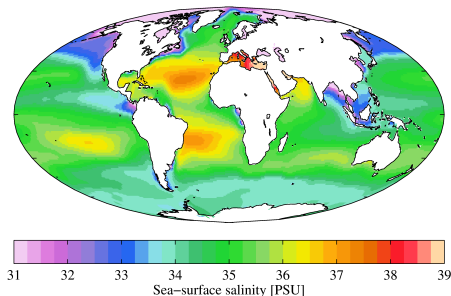
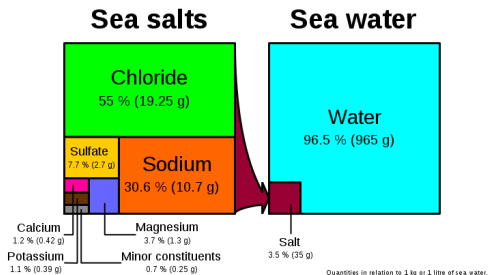
Momentum or Navier Stokes equation

Heat and temperature equation

- ▶ seawater is mixture of pure water and various salts

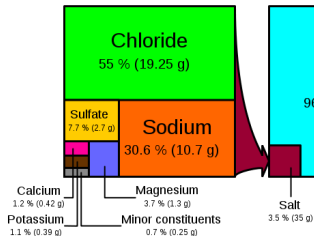


- ▶ seawater is mixture of pure water and various salts
- ▶ combine all salts into one variable :  
 $s$  is salt concentration in kg salt/kg sea water
- ▶  $s = 0.035$  is a typical value for the open ocean

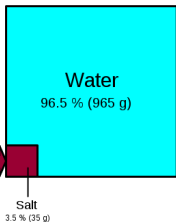


- ▶ seawater is mixture of pure water and various salts
- ▶ combine all salts into one variable :  
 $s$  is salt concentration in kg salt/kg sea water
- ▶  $s = 0.035$  is a typical value for the open ocean
- ▶ salinity is  $S = 1000s$  such that  $s=0.035$  kg salt/kg sea water corresponds to  $S = 35\text{g/kg}$

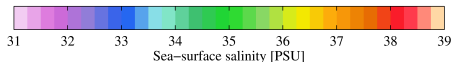
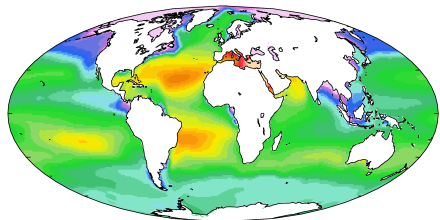
### Sea salts



### Sea water



Quantities in relation to 1 kg or 1 litre of sea water.



- ▶ general conservation law in flux form

$$\frac{\partial}{\partial t} \rho C = -\nabla \cdot (\rho C \mathbf{u} + \mathbf{J}) + Q$$

- ▶ salt conservation equation with  $C = S$ ,  $Q = 0$  but  $\mathbf{J} = \mathbf{J}_S$

$$\frac{\partial}{\partial t} \rho S = -\nabla \cdot (\rho S \mathbf{u} + \mathbf{J}_S)$$

with salt flux  $\mathbf{J}_S$  by molecular diffusion



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- ▶ rewrite to parcel form given by

$$\rho \frac{\partial S}{\partial t} + S \frac{\partial \rho}{\partial t} = -\nabla \cdot \rho S \mathbf{u} - \nabla \cdot \mathbf{J}_S$$

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using continuity equation  $\partial \rho / \partial t = -\nabla \cdot \rho \mathbf{u}$  times  $S$

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$$\rho \frac{\partial S}{\partial t} + S \frac{\partial \rho}{\partial t} = -\rho \mathbf{u} \cdot \nabla S - S \nabla \cdot \rho \mathbf{u} - \nabla \cdot \mathbf{J}_S$$

$$\rho \frac{DS}{Dt} = -\nabla \cdot \mathbf{J}_S = \nabla \cdot \kappa_S \nabla S$$

using continuity equation  $\partial \rho / \partial t = -\nabla \cdot \rho \mathbf{u}$  times  $S$

- ▶ specify  $\mathbf{J}_S$  as 'downgradient' diffusive flux  $\rightarrow \mathbf{J}_S = -\kappa_S \nabla S$   
with molecular diffusivity for salinity  $\kappa_S \approx 1.2 \times 10^{-9} \text{ m}^2/\text{s}$

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- ▶ general conservation equation

$$\frac{\partial}{\partial t} \rho C = -\nabla \cdot (\rho C \mathbf{u} + \mathbf{J}) + Q \quad , \quad \rho \frac{DC}{Dt} = -\nabla \cdot \mathbf{J} + Q$$

flux form and parcel form

- ▶ general conservation equation

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flux form and parcel form

- ▶ flux form for momentum component  $u_i = C$

$$\frac{\partial}{\partial t} \rho u_i = -\nabla \cdot (\rho u_i \mathbf{u} + \mathbf{J}^{(i)}) + Q_i$$

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Newton's law for parcels  $\rightarrow$  right hand side are forces (per volume)

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Newton's law for parcels  $\rightarrow$  right hand side are forces (per volume)

- ▶ in vector form and with (stress) tensor  $-\Pi_{ji} = J_j^{(i)}$

$$\rho \frac{D\mathbf{u}}{Dt} = \nabla \cdot \mathbf{\Pi} + \mathbf{Q}$$

- ▶ general conservation equation

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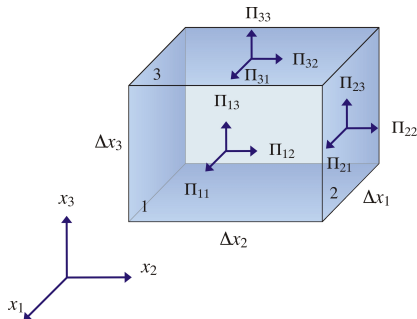
$$\rho \frac{D\mathbf{u}}{Dt} = \nabla \cdot \mathbf{\Pi} + \mathbf{Q}$$

- ▶  $\nabla \cdot \mathbf{\Pi}$  and  $\mathbf{Q}$  are forces (per volume) acting on the water parcel

- ▶ the stress tensor  $\Pi$  or  $\Pi_{ji}$  with

$$dF_i = dA n_j \Pi_{ji} \quad \text{or} \quad d\mathbf{F} = d\mathbf{A} \cdot \Pi, \quad d\mathbf{A} = \mathbf{n} dA$$

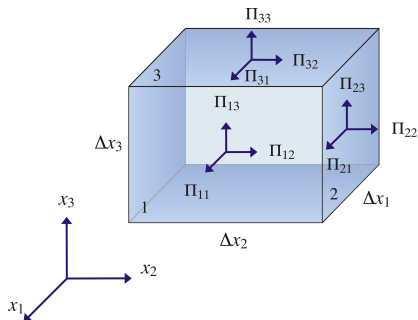
- ▶  $n_j \Pi_{ji}$  is the  $i$ -component of the force per unit area on the area perpendicular to  $\mathbf{n}$



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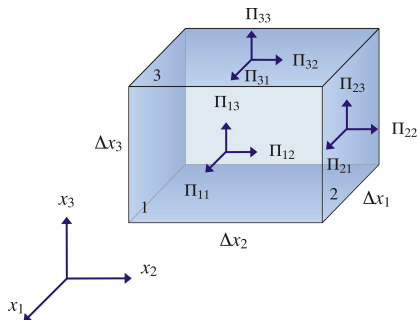
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- ▶  $\Pi_{ji}$  stands for the  $i$ -component of the force per unit area (stress) on the area perpendicular to the  $j$ -axis
- ▶  $\Pi_{11}$ ,  $\Pi_{22}$  and  $\Pi_{33}$  are the normal stresses, rest are tangential stresses



- conservation of momentum (Navier-Stokes equations)

$$\rho \frac{D\mathbf{u}}{Dt} = \rho \frac{\partial}{\partial t} \mathbf{u} + \rho \mathbf{u} \cdot \nabla \mathbf{u} = \nabla \cdot \mathbf{\Pi} + \mathbf{Q}$$

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$$\rho \frac{\partial}{\partial t} u_i + \rho u_j \frac{\partial}{\partial x_j} u_i = \frac{\partial}{\partial x_j} \Pi_{ji} + Q_i$$



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$$\rho \frac{\partial}{\partial t} u_i + \rho u_j \frac{\partial}{\partial x_j} u_i = \frac{\partial}{\partial x_j} \Pi_{ji} + Q_i$$

- diagonal elements of  $\mathbf{\Pi}$  acting normal to the corresponding surface are *normal stresses*  
off-diagonal elements of  $\mathbf{\Pi}$  acting tangential are the *tangential stresses*

- conservation of momentum (Navier-Stokes equations)

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- the mean normal inward stress is the (mechanical) *pressure*

$$p = -\frac{1}{3} (\Pi_{11} + \Pi_{22} + \Pi_{33}) = -\frac{1}{3} \Pi_{ii} = -\frac{1}{3} \text{tr } \mathbf{\Pi}$$

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$$\rho \frac{D\mathbf{u}}{Dt} = \rho \frac{\partial}{\partial t} \mathbf{u} + \rho \mathbf{u} \cdot \nabla \mathbf{u} = \nabla \cdot \mathbf{\Pi} + \mathbf{Q}$$

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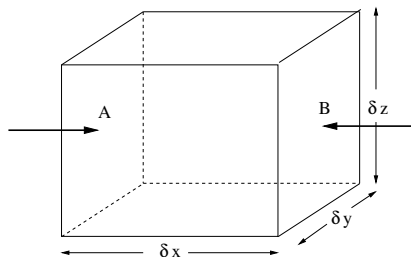
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- decompose  $\mathbf{\Pi}$  into isotropic pressure part and remainder

$$\Pi_{ij} = -p\delta_{ij} + \Sigma_{ij} \quad \text{or} \quad \mathbf{\Pi} = -p\mathbf{I} + \mathbf{\Sigma} \quad \text{with} \quad \nabla \cdot p\mathbf{I} = \nabla p$$

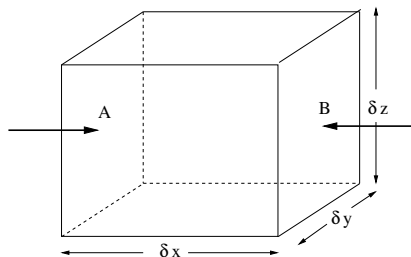
with the frictional tensor  $\mathbf{\Sigma}$  with vanishing trace  $\Sigma_{ii}$



- pressure force on side A and side B

$$F_A = p(x_0)\delta y\delta z \quad , \quad F_B = -p(x_0 + \delta x)\delta y\delta z$$

and similar for all other sides



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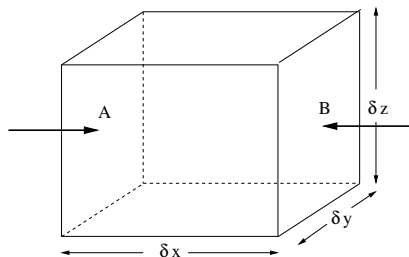
and similar for all other sides

- with

$$F_B = -\left(p(x_0) + \frac{\partial p}{\partial x}\delta x\right)\delta y\delta z$$

the net force in  $x_i$ -direction is given by

$$F^{(i)} = -\frac{\partial p}{\partial x_i}\delta x\delta y\delta z$$



- pressure force on side A and side B

$$F_A = p(x_0)\delta y\delta z \quad , \quad F_B = -p(x_0 + \delta x)\delta y\delta z$$

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$$F_B = -\left(p(x_0) + \frac{\partial p}{\partial x}\delta x\right)\delta y\delta z$$

the net force in  $x_i$ -direction is given by

$$F^{(i)} = -\frac{\partial p}{\partial x_i}\delta x\delta y\delta z \rightarrow \frac{F^{(i)}}{\delta x\delta y\delta z} = f^{(i)} = \frac{\partial p}{\partial x_i} \rightarrow \mathbf{f} = -\nabla p$$

- balance of momentum in parcel form

$$\rho \frac{D\mathbf{u}}{Dt} = \nabla \cdot \mathbf{\Pi} + \mathbf{f}^v = -\nabla p + \nabla \cdot \mathbf{\Sigma} + \mathbf{Q}$$

- balance of momentum in parcel form

$$\rho \frac{D\mathbf{u}}{Dt} = \nabla \cdot \mathbf{\Pi} + \mathbf{f}^v = -\nabla p + \nabla \cdot \mathbf{\Sigma} + \mathbf{Q}$$

- Newtonian fluid: relation between friction and velocity shear

$$\Sigma_{ij} = \nu \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} - \frac{2}{3} \frac{\partial u_\ell}{\partial x_\ell} \delta_{ij} \right)$$

with the (dynamical) viscosity  $\nu$



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- Navier-Stokes equation for Newtonian fluid (and constant  $\nu$ )

$$\rho \frac{D\mathbf{u}}{Dt} = -\nabla p + \nu \nabla^2 \mathbf{u} + \frac{\nu}{3} \nabla (\nabla \cdot \mathbf{u}) + \mathbf{Q}$$

- balance of momentum in parcel form

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- Navier-Stokes equation for Newtonian fluid (and constant  $\nu$ )

$$\rho \frac{D\mathbf{u}}{Dt} = -\nabla p + \nu \nabla^2 \mathbf{u} + \frac{\nu}{3} \nabla (\nabla \cdot \mathbf{u}) + \mathbf{Q}$$

- remaining forces in  $\mathbf{Q}$  are gravity, centrifugal, and Coriolis force

$$\mathbf{Q} = -2\rho \mathbf{\Omega} \times \mathbf{u} - \rho \nabla (\Phi + \Phi_{tide})$$

maybe also surface tension, electromagnetic forces, etc

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- conservation equation for in situ temperature  $T$

$$\rho c_p \frac{DT}{Dt} = \alpha T \frac{D\rho}{Dt} + \frac{\partial H}{\partial S} \nabla \cdot \mathbf{J}_S - \nabla \cdot \mathbf{J}_H + \rho \epsilon$$

with enthalpy  $H$ , specific heat  $c_p = \partial H / \partial T$ , thermal expansion coefficient  $\alpha = -1/\rho \partial \rho / \partial T$ , kinetic energy dissipation  $\rho \epsilon = \Sigma_{ij}^2$  and molecular diffusive enthalpy flux  $\mathbf{J}_H$

- conservation equation for in situ temperature  $T$

$$\rho c_p \frac{DT}{Dt} = \alpha T \frac{Dp}{Dt} + \frac{\partial H}{\partial S} \nabla \cdot \mathbf{J}_S - \nabla \cdot \mathbf{J}_H + \rho \epsilon$$

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- assume adiabatic conditions, i.e.  $\mathbf{J}_S = 0$ ,  $\mathbf{J}_H = 0$  and  $\epsilon = 0$

$$\rho c_p \frac{DT}{Dt} - \alpha T \frac{Dp}{Dt} = 0$$

- conservation equation for in situ temperature  $T$

$$\rho c_p \frac{DT}{Dt} = \alpha T \frac{Dp}{Dt} + \frac{\partial H}{\partial S} \nabla \cdot \mathbf{J}_S - \nabla \cdot \mathbf{J}_H + \rho \epsilon$$

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- assume adiabatic conditions, i.e.  $\mathbf{J}_S = 0$ ,  $\mathbf{J}_H = 0$  and  $\epsilon = 0$

$$\rho c_p \frac{DT}{Dt} - \alpha T \frac{Dp}{Dt} = 0 \quad \text{or} \quad \frac{DT}{Dt} = \Gamma \frac{Dp}{Dt}$$

with adiabatic lapse rate  $\Gamma = \alpha T / (\rho c_p)$

- conservation equation for in situ temperature  $T$

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with adiabatic lapse rate  $\Gamma = \alpha T / (\rho c_p)$

- in situ temperature is not "conserved"

- conservation equation for in situ temperature  $T$

$$\rho c_p \frac{DT}{Dt} = \alpha T \frac{Dp}{Dt} + \frac{\partial H}{\partial S} \nabla \cdot \mathbf{J}_S - \nabla \cdot \mathbf{J}_H + \rho \epsilon$$

with enthalpy  $H$ , specific heat  $c_p = \partial H / \partial T$ , thermal expansion coefficient  $\alpha = -1/\rho \partial \rho / \partial T$ , kinetic energy dissipation  $\rho \epsilon = \Sigma_{ij}^2$  and molecular diffusive enthalpy flux  $\mathbf{J}_H$

- assume adiabatic conditions, i.e.  $\mathbf{J}_S = 0$ ,  $\mathbf{J}_H = 0$  and  $\epsilon = 0$

$$\rho c_p \frac{DT}{Dt} - \alpha T \frac{Dp}{Dt} = 0 \quad \text{or} \quad \frac{DT}{Dt} = \Gamma \frac{Dp}{Dt}$$

with adiabatic lapse rate  $\Gamma = \alpha T / (\rho c_p)$

- in situ temperature is not "conserved"
- changes in temperature and pressure are related by  $dT = \Gamma dp$



- conservation equation for in situ temperature  $T$

$$\rho c_p \frac{DT}{Dt} = \alpha T \frac{Dp}{Dt} + \frac{\partial H}{\partial S} \nabla \cdot \mathbf{J}_S - \nabla \cdot \mathbf{J}_H + \rho \epsilon$$

with enthalpy  $H$ , specific heat  $c_p = \partial H / \partial T$ , thermal expansion coefficient  $\alpha = -1/\rho \partial \rho / \partial T$ , kinetic energy dissipation  $\rho \epsilon = \Sigma_{ij}^2$  and molecular diffusive enthalpy flux  $\mathbf{J}_H$

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with adiabatic lapse rate  $\Gamma = \alpha T / (\rho c_p)$

- in situ temperature is not "conserved"
- changes in temperature and pressure are related by  $dT = \Gamma dp$
- typical value is  $\Gamma \approx 10^{-8} \text{ K/Pa} = 10^{-4} \text{ K/dbar} \sim 0.1 \text{ K/km}$

- ▶ use equation for "conservative temperature"  $\Theta$  instead

$$\rho \frac{D\Theta}{Dt} = \left( \frac{\theta}{T} \frac{\partial H}{\partial S} - \frac{\partial H^0}{\partial S} \right) \nabla \cdot \frac{\mathbf{J}_S}{c_p^*} + \frac{\theta}{T} \left( -\nabla \cdot \frac{\mathbf{J}_H}{c_p^*} + \rho \frac{\epsilon}{c_p^*} \right)$$

with "potential enthalpy"  $H^0 = H(p = p_{ref})$ , reference specific heat  $c_p^* = const$ , "potential temperature"  $\theta = \partial H^0 / \partial \eta$  (with entropy  $\eta$ )

- ▶ now assume  $\theta/T \approx 1$  with relative error of  $10^{-3}$

- ▶ use equation for "conservative temperature"  $\Theta$  instead

$$\rho \frac{D\Theta}{Dt} = \left( \frac{\theta}{T} \frac{\partial H}{\partial S} - \frac{\partial H^0}{\partial S} \right) \nabla \cdot \frac{\mathbf{J}_S}{c_p^*} + \frac{\theta}{T} \left( -\nabla \cdot \frac{\mathbf{J}_H}{c_p^*} + \rho \frac{\epsilon}{c_p^*} \right)$$

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- ▶ neglect effect of salt fluxes compared to heat flux term  $\mathbf{J}_H$

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- ▶ now assume  $\theta/T \approx 1$  with relative error of  $10^{-3}$
- ▶ neglect effect of salt fluxes compared to heat flux term  $\mathbf{J}_H$
- ▶ neglect effect of dissipation compared to heat flux term
- ▶ get temperature equation containing the divergence of  $\mathbf{J}_H$

$$\rho \frac{D\Theta}{Dt} = -\nabla \cdot \mathbf{J}_\Theta + \text{very small source term}$$

with  $\mathbf{J}_\Theta = \mathbf{J}_H / c_p^* \rightarrow$  neglect small source term

- ▶ use equation for "conservative temperature"  $\Theta$  instead

$$\rho \frac{D\Theta}{Dt} = \left( \frac{\theta}{T} \frac{\partial H}{\partial S} - \frac{\partial H^0}{\partial S} \right) \nabla \cdot \frac{\mathbf{J}_S}{c_p^*} + \frac{\theta}{T} \left( -\nabla \cdot \frac{\mathbf{J}_H}{c_p^*} + \rho \frac{\epsilon}{c_p^*} \right)$$

with "potential enthalpy"  $H^0 = H(p = p_{ref})$ , reference specific heat  $c_p^* = const$ , "potential temperature"  $\theta = \partial H^0 / \partial \eta$  (with entropy  $\eta$ )

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- ▶ neglect effect of dissipation compared to heat flux term
- ▶ get temperature equation containing the divergence of  $\mathbf{J}_H$

$$\rho \frac{D\Theta}{Dt} = -\nabla \cdot \mathbf{J}_\Theta + \text{very small source term} \approx \nabla \cdot \kappa_\Theta \nabla \Theta$$

with  $\mathbf{J}_\Theta = \mathbf{J}_H / c_p^* \rightarrow$  neglect small source term

- ▶ specify  $\mathbf{J}_\Theta$  as 'downgradient' diffusive flux  $\rightarrow \mathbf{J}_\Theta = -\kappa_\Theta \nabla \Theta$   
with molecular diffusivity for heat (enthalpy)  $\kappa_\Theta \approx 1.4 \times 10^{-7} \text{ m}^2/\text{s}$

## Summary conservation laws

- ▶ momentum equation

$$\rho \frac{D\mathbf{u}}{Dt} = -\nabla p + \nabla \cdot \boldsymbol{\Sigma} + \mathbf{Q} \quad , \quad \mathbf{Q} = -2\rho\boldsymbol{\Omega} \times \mathbf{u} - \rho\nabla(\Phi + \Phi_{tide})$$

with geopotential ( $\Phi = gz$ ) and tidal potential  $\Phi_{tide}(\mathbf{x}, t)$

- ▶ continuity equation

$$\frac{D\rho}{Dt} = -\rho\nabla \cdot \mathbf{u} \quad , \quad \rho \frac{Dv}{Dt} = \nabla \cdot \mathbf{u}$$

- ▶ salt conservation equation

$$\rho \frac{DS}{Dt} = -\nabla \cdot \mathbf{J}_S = \nabla \cdot \kappa_S \nabla S$$

- ▶ conservative temperature equation

$$\rho \frac{D\Theta}{Dt} = -\nabla \cdot \mathbf{J}_\Theta + \text{very small source term} \approx \nabla \cdot \kappa_\Theta \nabla \Theta$$

- ▶ equation of state with conservative temperature as state variable

$$\rho = \rho(S, \Theta, p)$$